

Wholesale Client Questionnaire



Customer Name:

This questionnaire is designed to establish whether or not you are a “Wholesale Client” for the purpose of the *Corporations Act 2001* as some of our products and services are available only to Wholesale Clients.

You will be classified as either a Wholesale or Retail Client as a result of your response in this questionnaire. Please note that we may need additional documents from you to determine your Wholesale or Retail Client classification. You will be classified as a Retail Client until the documents we require are provided to us.

IMPORTANT: Please mark an item below only if applicable to you. If there is no item applicable to you, you will be categorised as a Retail Client.

MARK HERE	PROFESSIONAL INVESTOR TEST
	1) Australian Financial Services (AFS) Licensee
	2) Body regulated by APRA, other than a trustee of a superannuation entity or a public sector superannuation scheme (PSSS)
	3) Body registered entity under the <i>Financial Corporations Act 1974</i>
	4) Trustee of a superannuation entity or a PSSS with net assets of at least \$10 million
	5) A person in control of at a gross amount of at least \$10 million (including any amounts held by an associate or under a trust managed by the person)
	6) Listed entity or a related body corporate of a listed entity
	7) Exempt public authority
	8) Body corporate, or an unincorporated body that carries on a business that is offered to the public.
	9) Foreign entity that, if established or incorporated in Australia, would be covered by any of the above.
SOPHISTICATED INVESTOR TEST	
	10) You are considered by EbonFX as a “Sophisticated Investor” in its capacity as an AFS Licensee if a qualified accountant provides you with a Certificate obtained within the preceding 6 months, which is a written acknowledgment stating that: (a) the provision of the financial product or service to you is NOT in connection with a business; and (b) you have previous experience in using financial services and investing in financial products that allows you to assess the product or service in terms of the following (i) merits; (ii) value; (iii) risks associated with holding the product; and (iv) the adequacy of the information given by EbonFX. Additionally, you attest (by affixing your signature) that you have not received a PDS nor FSG from EbonFX and EbonFX does not have any other obligation to you if the product or service were provided to you as a retail client.
PRICE OR VALUE TEST	
	11) The price for the provision of the financial product, or the value of the financial product is five hundred thousand (\$500,000.00) IMPORTANT: This is not available to clients involved in SPOTS, TOD and TOM transactions.
SIZE OF BUSINESS TEST	
	12) You have a business that is NOT a small business. IMPORTANT: A business is considered small when it has less than 20 employees – or less than 100 employees if the business is or includes the manufacturing of goods.
NET WEALTH OR INCOME TEST	
	13) If you are NOT acquiring the financial product for use in connection with a business and you provide EbonFX with a Certificate from a qualified accountant obtained within the preceding 6 months that you have: (i) net assets of at least \$2.5 million; or (ii) a gross income of at least \$250,000 for the last 2 financial years. IMPORTANT: a Qualified Accountant is one who belongs to any of the professional bodies at the declared membership classification and complies with the continuing professional education requirements: CA, ACA, FCA, CPA, FCPA, AIPA, MIPA and FIPA.

Name

Date (dd/mm/yyyy)

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Signature

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